भारत सरकार **GOVERNMENT OF INDIA**
वित्त मंत्रालय **MINISTRY OF FINANCE**
राजस्व विभाग **DEPARTMENT OF REVENUE**
केन्द्रीय अप्रत्यक्ष कर एवं सीमा शुल्क बोर्ड
CENTRAL BOARD OF INDIRECT TAXES AND CUSTOMS
प्रधान आयुक्त का कार्यालय
OFFICE OF THE PRINICIPAL COMMISSIONER
केन्द्रीय कर एवं केन्द्रीय उत्पाद शुल्क, कोच्चि
CENTRAL TAX AND CENTRAL EXCISE, KOCHI
केन्द्रीय राजस्व भवन, **CENTRAL REVENUE BUILDING**
आई. एस. प्रेस रोड, कोच्चि, **I.S. PRESS ROAD, KOCHI-682 018**
E-mail: cexcochi@nic.in **Fax: 0484-2390608** **Phone: 0484-2390404**

सी.सं. **C.No. II/39/76/2018 Vig.Cx.Chn.** /199/19 दिनांक **Date: 11.03.2019**

Sub: - CCS (Conduct) Rules, 1964– Revision in limit for intimation of transactions in shares, securities, debentures or mutual funds scheme-regarding.

****

Please find enclosed DoP&T OM F. No.11013/6/2018-Estt.A-III dated 07.02.2019 and Joint Commissoner (CCO), Thiruvanathapuram Zone letter C. No. IV/16/152/2017 CC KZ IV A dated 04.03.2019 on the subject mentioned above.

Forwarded for information and necessary compliance.

11/3/19

सुरेश बाबू पी. जी./**Suresh Babu P.G)**
सहायक आयुक्त (का. व सत.) /**Assistant Commissioner (P&V)**

प्रतिलिपि / Copy to:

1. उप आयुक्त/ सहायक आयुक्त, केन्द्रीय कर एवं केन्द्रीय उत्पाद शुल्क
चालकुडी/ अलुवा/ तृश्शूर/ एर्णाकुलम/ काक्कनाड/ पेरुम्बवूर/ इडुक्की मण्डल
The Dy. Commissioners/ Asst. Commissioners of Central Tax and Central Excise Chalakudy/Aluva/Thrissur/Ernakulam/Kakkanad/Perumbavoor/Idukki Division.

2. अधीक्षक (कंप्यूटर कक्ष) आई.पी.आर. दर्ज करने की परिपत्र की एक प्रति के साथ-विभागीय वेबसाइट में अपलोड करने हेतु/ The Superintendent (Computer Cell)- to upload in website.

THE COMMISSIONER OF CENTRAL TAX & CENTRAL EXCISE
0 6 MAR 2019
INWARD No. 18698
सेवोत्तम/SEVOTTAM
COCHIN-18

भारत सरकार **GOVERNMENT OF INDIA**
वित्त मंत्रालय **MINISTRY OF FINANCE**
राजस्व विभाग **DEPARTMENT OF REVENUE**
केन्द्रीय अप्रत्यक्ष कर और सीमा शुल्क बोर्ड
CENTRAL BOARD OF INDIRECT TAXES AND CUSTOMS
मुख्य आयुक्त का कार्यालय
OFFICE OF THE CHIEF COMMISSIONER
केन्द्रीय कर, केन्द्रीय उत्पाद शुल्क और सीमा शुल्क
CENTRAL TAX, CENTRAL EXCISE & CUSTOMS
तिरुवनंतपुरम क्षेत्र, **THIRUVANANTHAPURAM ZONE**
केन्द्रीय राजस्व भवन, **CENTRAL REVENUE BUILDING**
आई. एस. प्रेस रोड, कोच्चि, **I.S. PRESS ROAD, KOCHI-682 018**

E-mail: cccochin@nic.in Fax: 0484-2397614 Phone: 0484-2394100/0404

C.No. IV/16/152/2017 CC KZ IV A **Date: 04.03.2019**

To

The Principal Commissioner of Central Taxes & Central Excise,
Thiruvananthapuram/ Cochin Commissionerates.

The Commissioner of Central Taxes & Central Excise,
Calicut/Audit/Appeal Commissionerates.

The Commissioner of Customs,
Custom House/Custom (Prev).

Sir,

Sub: CCS (Conduct) Rules, 1964-Revision in limit for intimation of transaction in shares, securities, debentures or mutual funds scheme, etc-Reg.

Please find enclosed herewith a copy of Office Memorandum F.No.11013/6/2018-Estt.A-III dated 07.02.2019 received from Under Secretary, DoP&T on the subject mentioned above, which is self explanatory.

Forwarded for information and necessary compliance.

Yours faithfully,

04/03/19

(RANI C R)
JOINT COMMISSIONER

Encl: As above.

F. No. 11013/6/2018-Estt.A-III
Government of India
Ministry of Personnel, Public Grievances and Pension
Department of Personnel & Training
Establishment A-III Desk
******

North Block, New Delhi-110001
Date: 07.02.2019

OFFICE MEMORANDUM

Subject: CCS (Conduct) Rules, 1964 – Revision in limit for intimation in respect of transactions in sale and purchase of shares, securities, debentures etc.

The undersigned is directed to refer to this Department's O.M. No. 11013/6/91-Ests.(A) dated 08.04.1992 prescribing the following limit of transactions in shares, securities, debentures or mutual funds scheme, etc for intimation to Government in a prescribed format:

(i) **Group 'A' and 'B' Officers** – If the total transaction in shares, securities, debentures or mutual funds scheme etc. exceeds Rs. 50,000/- during the calendar year.

(ii) **Group 'C' and 'D' Officers** – If the total transaction in shares, securities, debentures or mutual funds scheme etc. exceeds Rs. 25,000/- during calendar year.

2. Sub-rule (1) of the Rule 16 provides that no Government servant shall speculate in any stock, share or other investment. It has also been explained that frequent purchase or sale or both, of share, securities or others investments shall be deemed to be speculation within the meaning of this sub-rule. But, the occasional investments made through stock brokers or other persons duly authorized and licensed or who have obtained a certificate of registration under the relevant laws is allowed in this rule. With a view to enable the administrative authorities to keep a watch over such transaction, it has been decided that an intimation may be sent in the enclosed proforma to the prescribed authority in respect of all Government servants, if the total transactions in shares, securities, debentures, mutual funds scheme, etc. exceeds **six months' basic pay of Government servant** during the calendar year (to be submitted by 31st January of subsequent calendar year).

Contd..

3. It is also clarified that since shares, securities, debentures, etc. are treated as movable property for the purpose of Rule 18(3) of CCS(Conduct) Rules, 1964, if an individual transaction exceeds the amount prescribed in Rule 18(3), the intimation to the prescribed authority would still be necessary. The intimation prescribed in para 2 above will be in addition to this, where cumulative transaction(s) i.e. sale, purchase or both in shares, securities, debentures or mutual funds, etc. in a year exceed the limits indicated in para 2 above.

4. This Office Memorandum issues in supersession of this Department's O.M. No. 11013/6/91-Ests.(A) dated 08.04.1992.

5. In so far as the personnel serving in Indian Audit and Accounts Department are concerned, these instructions are being issued after consultation with the Comptroller and Auditor General of India.

6. All Ministries/ Departments are requested to bring these instructions to the notice of all concerned authorities under their control.

7. Hindi version will follow.

(Satish Kumar)
Under Secretary to the Govt. of India

To

The Secretaries of All Ministries/Departments
(as per the standard list)

Copy to:

1. President's Secretariat, New Delhi.
2. Vice-President's Secretariat, New Delhi.
3. The Prime Minister's Office, New Delhi.
4. Cabinet Secretariat, New Delhi.
5. Rajya Sabha Secretariat/Lok Sabha Secretariat, New Delhi.
6. The Comptroller and Auditor General of India, New Delhi.
7. The Secretary, Central Vigilance Commission
8. The Secretary, Union Public Service Commission, New Delhi.
9. The Secretary, Staff Selection Commission, New Delhi.
10. All attached offices under the Ministry of Personnel, Public Grievances and Pensions.

Contd..

11. National Commission for Scheduled Castes, New Delhi.
12. National Commission for Scheduled Tribes, New Delhi.
13. National Commission for OBCs, New Delhi.
14. Secretary, National Council (JCM), 13, Feroze Shah Road, New Delhi.
15. CVOs of all Ministries/Departments.
16. ADG (M&C), Press Information Bureau, DoP&T
17. NIC, Department of Personnel & Training, North Block, New Delhi [for uploading the same on the website of this Ministry under the Head Notifications >> OMs & Orders >> Establishment >> CCS (Conduct)Rules & What's New]
18. Hindi Section, DoP&T

(Satish Kumar)
Under Secretary to the Govt. of India

Proforma for intimation under Rule 18(4) of CCS (Conduct) Rules, 1964 for transactions in shares, securities, debentures, investment in mutual fund schemes, etc.

1. Name of the Government servant : _____
   (a) Designation:_____
   (b) Service to which belongs: _____
   (c) Employee No./ Code No.:_____

2. Scale of Pay and present pay: _____

3. Details of each transaction made in shares, securities, debentures, mutual funds scheme, etc. during the calendar year. _____

4. Particulars of the party/firm with whom transaction(s) is made:-

   (a) Is party related to the applicant? _____
   (b) Did the applicant have any dealings with the party in his/her official capacity at any time or is the applicant likely to have any dealings with him in the near future. _____

5. Source(s) from which financed:-

   (a) Personal savings.
   (b) Other sources giving details

6. Any other relevant fact which applicant may like to mention.

Declaration

I hereby declare that the particulars given above are true.

Place:
Date:

Signature
Designation